

# Instantons in gauge theories with $N=1/2$ supersymmetry

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# Outline

- Noncommutative superspace.
- Gauge theory on NS
  - classical aspects
  - perturbative regime
  - instanton solutions and supersymmetry
- One instanton solution in  $U(N)$  gauge theory.
  - procedure for deforming the instanton
  - geometry of the deformed moduli space
- Chiral ring and gluino condensate
- Summary

# String in graviphoton field

- String in flat space

$$L = \frac{1}{\alpha'} \left( \frac{1}{2} \tilde{\partial} x^\mu \partial x_\mu + p_\alpha \tilde{\partial} \theta^\alpha + \bar{p}_{\dot{\alpha}} \tilde{\partial} \bar{\theta}^{\dot{\alpha}} + \tilde{p}_\alpha \partial \tilde{\theta}^\alpha + \tilde{p}_{\dot{\alpha}} \partial \tilde{\theta}^{\dot{\alpha}} \right)$$

Berkovits '96

- Euclidean target space: independent  $\theta, \bar{\theta}, p, \bar{p}$ :

$$(p_\alpha, \bar{p}_{\dot{\alpha}}) \rightarrow \left( -\frac{\partial}{\partial \theta^\alpha}, -\frac{\partial}{\partial \bar{\theta}^{\dot{\alpha}}} \right) \Big|_x, \quad q_\alpha \rightarrow \frac{\partial}{\partial \theta^\alpha} \Big|_y, \quad \bar{d}_{\dot{\alpha}} \rightarrow -\frac{\partial}{\partial \bar{\theta}^{\dot{\alpha}}} \Big|_y$$

$$y^\mu = x^\mu + i\theta^\alpha \sigma_{\alpha\dot{\alpha}}^\mu \bar{\theta}^{\dot{\alpha}} + i\tilde{\theta}^\alpha \sigma_{\alpha\dot{\alpha}}^\mu \tilde{\theta}^{\dot{\alpha}}$$

- Change of variables:  $p \rightarrow q, \bar{p} \rightarrow \bar{d}$ :

$$q_\alpha = -p_\alpha - i\sigma_{\alpha\dot{\alpha}}^\mu \partial x_\mu + \frac{1}{2} \bar{\theta} \theta \partial \theta_\alpha - \frac{3}{2} \partial(\theta_\alpha \bar{\theta} \theta)$$

- New Lagrangian:

$$L = \frac{1}{\alpha'} \left( \frac{1}{2} \tilde{\partial} y^\mu \partial y_\mu - q_\alpha \tilde{\partial} \theta^\alpha + \bar{d}_{\dot{\alpha}} \tilde{\partial} \bar{\theta}^{\dot{\alpha}} - \tilde{q}_\alpha \partial \tilde{\theta}^\alpha + \tilde{d}_{\dot{\alpha}} \partial \tilde{\theta}^{\dot{\alpha}} \right)$$

- D brane:  $\theta = \tilde{\theta}, q = \tilde{q}$  at  $z = \tilde{z}$

- Preserved SUSY:

$$\oint (qdz + \tilde{q}d\tilde{z}), \quad \oint (\bar{q}dz + \tilde{\bar{q}}d\tilde{z})$$

# Graviphoton and Noncommutative Superspace

- Adding graviphoton field to the Lagrangian:

$$L_1 = \frac{1}{\alpha'} \left( -q_\alpha \tilde{\partial} \theta^\alpha - \tilde{q}_\alpha \partial \tilde{\theta}^\alpha + \alpha' F^{\alpha\beta} q_\alpha \tilde{q}_\beta \right)$$

- To avoid gravitational backreaction:  $F_{\dot{\alpha}\dot{\beta}} = 0$

- Effective Lagrangian:

$$L_{eff} = \left( \frac{1}{\alpha' F} \right)_{\alpha\beta} \partial \tilde{\theta}^\alpha \tilde{\partial} \theta^\beta$$

- Boundary conditions at  $z = \tilde{z}$ :

$$\left( \frac{1}{\alpha' F} \right)_{\alpha\beta} (\partial \tilde{\theta}^\alpha \delta \theta^\beta + \tilde{\partial} \theta^\alpha \delta \tilde{\theta}^\beta) = 0 : \quad \begin{aligned} \theta^\alpha &= \tilde{\theta}^\alpha, \\ \partial \tilde{\theta}^\alpha &= -\tilde{\partial} \theta^\alpha \end{aligned}$$

- Propagators:

$$\begin{aligned} \langle \theta^\alpha(z, \tilde{z}) \theta^\beta(w, \tilde{w}) \rangle &= \frac{\alpha'}{2\pi i} F^{\alpha\beta} \log \frac{\tilde{z} - w}{z - \tilde{w}} \\ \langle \theta^\alpha(\tau) \theta^\beta(\tau') \rangle &= \frac{\alpha'}{2} F^{\alpha\beta} \text{sign}(\tau - \tau') \end{aligned}$$

$$\{\theta^\alpha, \theta^\beta\} = \alpha'^2 F^{\alpha\beta} = C^{\alpha\beta}, \quad [y^\mu, y^\nu] = 0$$

# Gauge theory on Noncommutative Superspace

- Noncommutative superspace:

$$\{\theta^\alpha, \theta^\beta\} = C^{\alpha\beta}, \quad y^m = x^m + i\theta^\alpha \sigma_{\alpha\dot{\alpha}}^m \bar{\theta}^{\dot{\alpha}}$$

- Star product: finite number of terms

$$f(\theta) \star g(\theta) = f(\theta) \exp\left(-\frac{C^{\alpha\beta} \overleftarrow{\partial}}{2} \frac{\overrightarrow{\partial}}{\partial\theta^\alpha} \frac{\overrightarrow{\partial}}{\partial\theta^\beta}\right) g(\theta)$$

- Modification of SUSY algebra:

$$\{\bar{Q}_{\dot{\alpha}}, \bar{Q}_{\dot{\beta}}\}_\star = -4C^{\alpha\beta} \sigma_{\alpha\dot{\alpha}}^m \sigma_{\beta\dot{\beta}}^n \frac{\partial^2}{\partial y^m \partial y^n}$$

- Gauge field:

$$W_\alpha = -\frac{1}{4} \overline{DD} (e_\star^{-V} \star D_\alpha e_\star^V) \rightarrow e_\star^{-i\Lambda} \star W_\alpha \star e_\star^{i\Lambda}$$

- WZ gauge:  $C$ -dependent corrections to  $V$ .

- Action for “ $\mathcal{N} = \frac{1}{2}$ ” SYM:

$$S = - \int d^4x \text{Tr} \left[ \frac{i\tau}{8\pi} W^\alpha \star W_\alpha \right]_{\theta^2} + \int d^4x \text{Tr} \left[ \frac{i\bar{\tau}}{8\pi} \bar{W}_{\dot{\alpha}} \star \bar{W}_{\dot{\alpha}} \right]_{\bar{\theta}^2}$$

# Perturbative $\mathcal{N} = \frac{1}{2}$ SYM

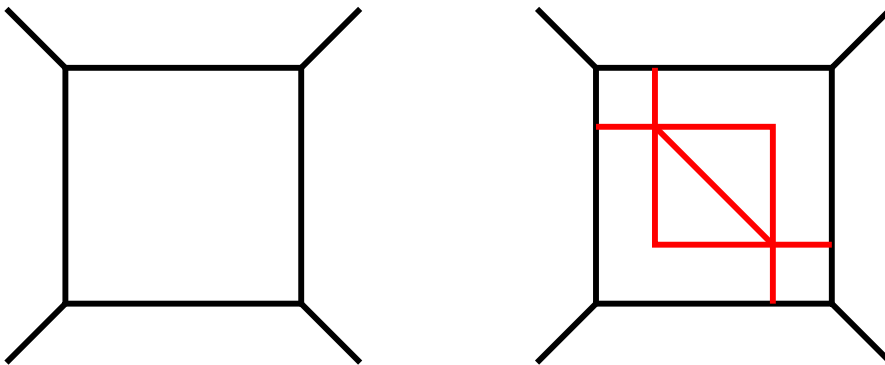
- Lagrangian for the component fields

$$L = \frac{1}{g^2} \text{Tr} \left\{ -\frac{1}{4} F_{mn} F^{mn} - i \bar{\lambda} \bar{\sigma}^m \nabla_m \lambda + \frac{1}{2} D^2 \right\} \\ + \frac{1}{g^2} \text{Tr} \left\{ -\frac{i C^{mn}}{2} F_{mn} \bar{\lambda} \lambda + \frac{C^2}{8} (\bar{\lambda} \lambda)^2 \right\}$$

Seiberg '03

- Operators with  $\Delta = 5$ : no renormalizability

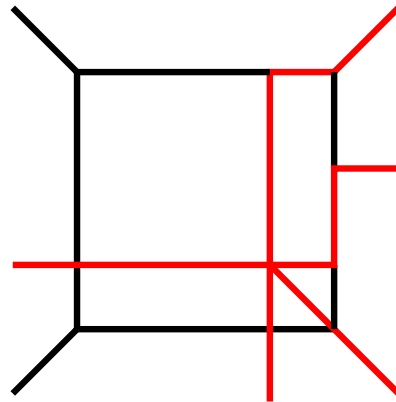
$$\Omega_{\text{div}} = 4 + \sum_{i \in L} (\Delta_i - 4) - \frac{1}{2} \sum_{\text{ext}} (r_l + d_l + 4) \\ = 4 + \sum_{i \in L} (\Delta_i - 4) - \sum_{\text{ext}} s_l$$



- Assumption: new vertices are connected without changing external lines

# Renormalization of $\mathcal{N} = \frac{1}{2}$ SYM

- Features of the theory:
  - no hermiticity
  - R symmetry:  $\lambda \rightarrow e^{i\alpha} \lambda$
- “Non-renormalizable” vertices: lines cannot terminate inside the diagram



$$\begin{aligned} \Omega_{\text{div}} &= 4 + \sum_{i \in L} (\Delta_i - 4) + \sum'_{i \in L} (\Delta_i - 4) - \sum_{\text{ext}} s_l - \sum'_{\text{ext}} s_l \\ &= 4 + \sum_{i \in L} (\Delta_i - 4) + \sum'_{i \in L} (\tilde{\Delta}_i - 4) - \sum_{\text{ext}} s_l \end{aligned}$$

- $\tilde{\Delta} < 4$  accounts for R charge flow
- SYM is renormalizable: no new vertices.

# Instantons in $\mathcal{N} = \frac{1}{2}$ SYM

- Instantons in  $\mathcal{N} = 1$  SYM
  - minimal action in a given topological sector
  - solutions preserving  $\mathcal{N} = \frac{1}{2}$  SUSY

- SUSY transformations in  $\mathcal{N} = \frac{1}{2}$  theory

$$\delta\lambda^\alpha = i\varepsilon^\alpha D + 2\left(F^{\alpha\beta} + \frac{i}{2}C^{\alpha\beta}\bar{\lambda}\lambda\right)\varepsilon_\beta$$

$$\delta F_{\alpha\beta} = -i\varepsilon_{(\alpha}\nabla_{\beta)}\bar{\lambda}^{\dot{\beta}} \quad \delta D = -\varepsilon^\alpha\nabla_{\alpha\dot{\beta}}\bar{\lambda}^{\dot{\beta}}$$

- Instantons preserving SUSY

$$F^{\alpha\beta} + \frac{i}{2}C^{\alpha\beta}\bar{\lambda}\lambda = 0, \quad \nabla_{\alpha\dot{\beta}}\bar{\lambda}^{\dot{\beta}} = 0, \quad \lambda_\alpha = D = 0$$

- Alternative derivation: rewrite the action as

$$S = \frac{1}{g^2} \int d^4x \text{Tr} \left[ - \left( F_{mn}^{(+)} + \frac{i}{2}C_{mn} \bar{\lambda}\lambda \right)^2 - i\lambda \sigma^m \nabla_m \bar{\lambda} + D^2 \right] \\ - \frac{i\bar{\tau}}{4\pi} \int \text{Tr} F \wedge F.$$

- “Instanton number” is negative
- “Holomorphic instanton” – no deformation:

$$F^{\dot{\alpha}\dot{\beta}} = 0, \quad \nabla_{\dot{\alpha}\beta}\lambda^\beta = 0, \quad \bar{\lambda}_{\dot{\alpha}} = D = 0$$

# Constructing Deformed Instantons

- Equations to be solved

$$F^{\alpha\beta} + \frac{i}{2}C^{\alpha\beta}\overline{\lambda\lambda} = 0, \quad \nabla_{\alpha\dot{\beta}}\overline{\lambda}^{\dot{\beta}} = 0$$

- Perturbation theory in  $C_{\alpha\beta}$ : truncated series
- Example: one instanton for  $U(2)$

$$\overline{\lambda}_{\dot{\alpha}} = F_{\dot{\alpha}\dot{\beta}}\overline{\xi}^{\dot{\beta}}, \quad \overline{\xi}^{\dot{\alpha}} = \overline{\zeta}^{\dot{\alpha}} + x_{\alpha}^{\dot{\alpha}}\eta^{\alpha}$$

- Fermi statistics:  $\overline{\lambda\lambda} \in U(1)$
- prepotential for the  $U(1)$  part:  $A_m = C_{mn}\nabla_n\Phi$
- solution of the Laplace equation for  $\Phi$ :

$$\Phi = -8i \left[ \frac{\rho^2}{(r^2 + \rho^2)^2} \overline{\xi}_{\dot{\alpha}}\overline{\xi}^{\dot{\alpha}} + \frac{1}{r^2 + \rho^2} (\overline{\zeta}_{\dot{\alpha}}\overline{\zeta}^{\dot{\alpha}} + \rho^2\eta^{\alpha}\eta_{\alpha}) \right]$$

# One Instanton for U(N)

- $k$  instantons for  $U(N)$ :  $2kN$  zero modes
- Generically series terminates at  $|C|^{kN}$
- One instanton solution: series up to  $|C|^3$
- Zero modes for one instanton:

$$\bar{\lambda}_{\dot{\alpha}}^{(0)} = F_{\dot{\alpha}\dot{\beta}}^{(0)} \left( \bar{\zeta}^{\dot{\beta}} + x_{\alpha}^{\dot{\beta}} \eta^{\alpha} \right)$$

$$\bar{\lambda}_{\dot{\alpha}a}^{(0) i} = \frac{\varepsilon_{a\dot{\alpha}} \chi^i}{(x^2 + \rho^2)^{3/2}} \quad \bar{\lambda}_{\dot{\alpha}i}^{(0) a} = \frac{\bar{\chi}^i}{(x^2 + \rho^2)^{3/2}} \delta_{\dot{\alpha}}^a$$

- Global  $U(N - 2)$  rotation:  $\chi_4 = \dots = \chi_N = 0$
- Exact solution found by perturbation theory

$$A_m = A_m^{(0)} + C_{mn} \nabla_n \left( \Phi^{(1)} + \Phi^{(2)} + \Phi^{(3)} \right) + \frac{i}{16} C_{kl} C_{kl} \left[ \Phi^{(1)}, \nabla_n \Phi^{(1)} \right]$$

$$\bar{\lambda}^{\dot{\alpha}} = \bar{\lambda}^{(0)\dot{\alpha}} + \bar{\sigma}^{m\dot{\alpha}\alpha} C_{\alpha}^{\beta} \nabla_m \left( \Psi_{\beta}^{(1)} + \Psi_{\beta}^{(2)} \right) - \frac{C_{kl} C^{kl}}{32} \left[ \Phi^{(1)}, \left[ \Phi^{(1)}, \bar{\lambda}^{(0)\dot{\alpha}} \right] \right]$$

- Poisson equations for prepotentials

$$\nabla^2 \Phi^{(m)} = J^{(m)}, \quad \nabla^2 \Psi_{\alpha}^{(m)} = K_{\alpha}^{(m)}$$

# Explicit Form of the Instanton

- Undeformed solution

$$(A_{\beta\dot{\beta}}^{(0)})_c{}^b = -\frac{2i\varepsilon_{ca}}{x^2 + \rho^2} (\delta_{\dot{\beta}}^a x_\beta^b + \delta_{\dot{\beta}}^b x_\beta^a)$$

$$(F_{\dot{\alpha}\dot{\beta}}^{(0)})_c{}^b = \frac{8i\varepsilon_{ca}\rho^2}{(x^2 + \rho^2)^2} (\delta_{\dot{\alpha}}^a \delta_{\dot{\beta}}^b + \delta_{\dot{\alpha}}^b \delta_{\dot{\beta}}^a)$$

- Prepotentials

$$(\Phi^{(1)})_a{}^b = -8i \left[ \frac{\rho^2}{(r^2 + \rho^2)^2} \bar{\xi}_{\dot{\alpha}} \bar{\xi}^{\dot{\alpha}} + \frac{1}{r^2 + \rho^2} (\bar{\zeta}_{\dot{\alpha}} \bar{\zeta}^{\dot{\alpha}} + \rho^2 \eta^\alpha \eta_\alpha) - \frac{1}{r^2 + \rho^2} \frac{\bar{\chi}_i \chi^i}{64\rho^2} \right] \delta_a^b$$

$$(\Phi^{(1)})_a{}^i = -\frac{2\bar{\xi}_{\dot{\alpha}} \chi^i}{(r^2 + \rho^2)^{3/2}}; \quad (\Phi^{(1)})_i{}^a = -\frac{2\bar{\chi}_i \bar{\xi}^{\dot{\alpha}}}{(r^2 + \rho^2)^{3/2}}; \quad (\Phi^{(1)})_i{}^j = \frac{i}{r^2 + \rho^2} \frac{\bar{\chi}_i \chi^j}{4\rho^2}$$

$$\begin{aligned} (\Phi^{(2)})_a{}^b &= -2iC_{mk} \frac{1}{(\rho^2 + r^2)^3} (\bar{\sigma}^{kn})^b{}_a \frac{x_m x_n \bar{\chi}_i \chi^i}{\rho^2} \\ &\quad \times \left[ \frac{\bar{\zeta}_{\dot{\alpha}} \bar{\zeta}^{\dot{\alpha}}}{\rho^2} (r^2 + 2\rho^2) - \rho^2 \eta^\alpha \eta_\alpha - \eta^\alpha x_{\alpha\dot{\alpha}} \bar{\zeta}^{\dot{\alpha}} \right] \\ &\quad + 2i \frac{1}{(\rho^2 + r^2)^2} \frac{\bar{\chi}_i \chi^i}{\rho^2} \left[ \bar{\zeta}_a (\bar{x}C)^{b\alpha} \eta_\alpha + \bar{\zeta}^b (\bar{x}C)_a{}^\alpha \eta_\alpha \right] \end{aligned}$$

$$(\Phi^{(2)})_i{}^a = -8 \frac{\bar{\chi}_i}{(r^2 + \rho^2)^{5/2}} \left[ (r^2 + 2\rho^2) \frac{\bar{\zeta}_{\dot{\alpha}} \bar{\zeta}^{\dot{\alpha}}}{\rho^2} (\bar{x}C)^{a\alpha} \eta_\alpha + \eta^\alpha \eta_\alpha (\bar{x}C x)^a{}_{\dot{\alpha}} \bar{\zeta}^{\dot{\alpha}} \right]$$

$$(\Phi^{(2)})_a{}^i = -8 \frac{\chi^i}{(r^2 + \rho^2)^{5/2}} \left[ (r^2 + 2\rho^2) \frac{\bar{\zeta}_{\dot{\alpha}} \bar{\zeta}^{\dot{\alpha}}}{\rho^2} (\bar{x}C)_a{}^\alpha \eta_\alpha + \eta^\alpha \eta_\alpha (\bar{x}C x)_{a\dot{\alpha}} \bar{\zeta}^{\dot{\alpha}} \right]$$

$$\Phi^{(3)} = i \frac{C_{kl} C_{kl}}{2} \frac{\eta^\alpha \eta_\alpha \bar{\zeta}_{\dot{\alpha}} \bar{\zeta}^{\dot{\alpha}} \bar{\chi}_i \chi^i}{\rho^4 (r^2 + \rho^2)^3} (r^4 + 6r^2 \rho^2 + 3\rho^4) \text{diag} \left( 1, 1, 2 \frac{r^4 + 4r^2 \rho^2 + \rho^4}{r^4 + 6r^2 \rho^2 + 3\rho^4} \right)$$

# Metric on the Moduli Space

- Motivation

- measure on the moduli space
- metric on MS and AdS/CFT
- instanton MS in large N  $\rightarrow$  bulk geometry
- leading contribution: SU(2) instantons

Dorey at '96

- Problems with  $L^2$  metric

- no manifest gauge invariance
- no conformal invariance

- Information metric:

$$\mathcal{G}_{AB} dZ^A dZ^B \equiv dZ^A dZ^B \int d^4x \frac{\partial_A \mathcal{F} \partial_B \mathcal{F}}{\mathcal{F}}$$

Hitchin '88

- Instanton density

$$\mathcal{F}[x, Z^A] = \frac{1}{16\pi^2} \text{Tr} F \wedge F$$

- AdS/CFT: bulk-to-boundary propagator:

$$\Delta_Z \mathcal{F}[x, Z^A] = 0, \quad \mathcal{F}[x, Z^A]|_{\rho=0} = \delta^4(x - X)$$

Balasubramanian et al '98

# Information Metric

- Undeformed  $U(2)$  instanton

- moduli space:  $\rho, X, \bar{\zeta}, \eta$

- instanton density and information metric

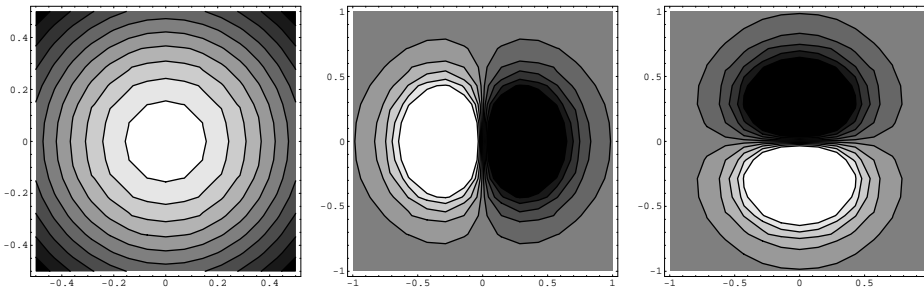
$$\mathcal{F} = \frac{1}{16\pi^2} \frac{96\rho^4}{[(x - X)^2 + \rho^2]^4}$$

$$\mathcal{G}_{AB}dZ^A dZ^B = \frac{128}{5} \left[ \frac{d\rho^2}{\rho^2} + \frac{dX^2}{\rho^2} \right]$$

Blau, Narain, Thompson '01

- Instanton with  $C$  deformation

- density is a function of  $\rho, X, \bar{\zeta}, \eta, \chi, \bar{\chi}$



- information metric

$$\mathcal{G}_{AB}dZ^A dZ^B = \frac{128}{5} \left[ \frac{d\tilde{\rho}^2}{\tilde{\rho}^2} \left( 1 + \frac{6}{7\tilde{\rho}^6} C^2 S_1 - \frac{96}{7\tilde{\rho}^2} C^2 S_2 \right) + \frac{d\tilde{X}^2}{\tilde{\rho}^2} \left( 1 - \frac{3}{14\tilde{\rho}^6} C^2 S_1 + \frac{24}{7\tilde{\rho}^2} C^2 S_2 \right) - C^2 \frac{13}{14\tilde{\rho}^7} T^m d\tilde{\rho} dX_m \right]$$

- determinant is  $C$ -independent

# Chiral Ring & Gluino Condensate

- Antichiral ring:  $[Q, \overline{\mathcal{O}}] = 0$ .

- ring property  $\overline{\mathcal{O}} \sim \overline{\mathcal{O}} + [Q, M]$ :

$$\langle [Q, M] \overline{\mathcal{O}}_1 \dots \overline{\mathcal{O}}_n \rangle = 0$$

- coordinate independence:

$$\partial \overline{\mathcal{O}} \sim [Q, [\overline{Q}, \overline{\mathcal{O}}]]$$

- $C$ -independence

$$\delta L = \{Q^\alpha, J_\alpha\} \quad \frac{\delta}{\delta C^{\alpha\beta}} \langle \overline{\mathcal{O}}_1 \dots \overline{\mathcal{O}}_n \rangle = 0$$

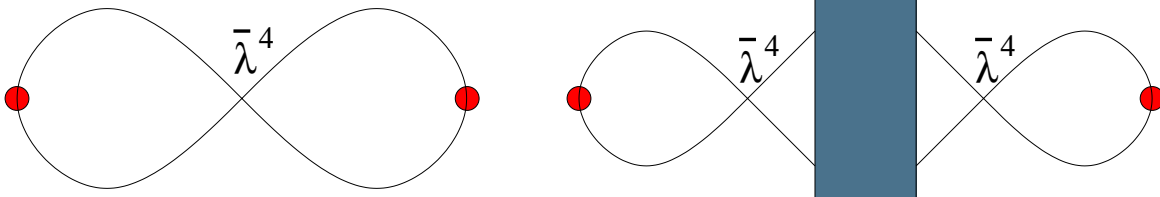
- alternative ring  $[D, \overline{\mathcal{O}}] = 0$  is deformed

Seiberg '03

- No chiral ring since  $\overline{Q}$  is not a symmetry

- Gaugino condensate:

perturbative and instanton corrections



Imaanpur '03

# Summary

- String theory in graviphoton field
  - self–dual field: no gravitational backreaction
  - theory on the brane: deformed superspace
- Renormalization of  $\mathcal{N} = \frac{1}{2}$  SYM
  - operators of dimensions 5 and 6
  - R charge constraint, no hermiticity
  - no new operators are generated
- Instanton solution
  - truncation of series in  $C_{\alpha\beta}$
  - Laplace equations for prepotentials
- Metric on the moduli space
  - information metric vs  $L^2$  metric
  - measure is not deformed
- Open problem: deformation of the chiral ring